

10/593579  
IAP9/Rec'd PCT/PTO 20 SEP 2006

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Eiichi KAJI et al. : **Mail Stop: PCT**

Serial No. NEW : Attorney Docket No. 2006\_1387A

Filed September 20, 2006 :

POLYMETHYLALUMINOXANE  
PREPARATION, METHOD OF PRODUCING  
THE SAME, POLYMERIZATION CATALYST,  
AND POLYMERIZATION METHOD OF OLEFINS  
[Corresponding to PCT/JP2005/004952  
Filed March 18, 2005]

THE COMMISSIONER IS AUTHORIZED  
TO CHARGE ANY DEFICIENCY IN THE  
FEE FOR THIS PAPER TO DEPOSIT  
ACCOUNT NO. 23-0975.

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**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Pursuant to the provisions of 37 CFR 1.56, 1.97 and 1.98, Applicants request consideration of the references listed on attached form PTO-1449 and any additional information identified below in paragraph 3. A legible copy of each reference listed on the Form PTO-1449 is enclosed, except a copy is not provided for:

- each U.S. Patent and U.S. Patent application publication;
- each reference previously cited in the international application PCT/\_\_\_\_\_; and/or
- each reference previously cited in prior parent application Serial No. \_\_\_\_\_

1a.  This Information Disclosure Statement is submitted:

within three months of the filing date (or of entry into the National Stage) of the above-entitled application, or

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before the mailing of a first Office Action on the merits or the mailing of a first Office Action after the filing of an RCE,

**and thus no certification and/or fee is required.**

1b.  This Information Disclosure Statement is submitted  
after the events of above paragraph 1a and prior to the mailing date of a final Office Action or a Notice of Allowance or an action which otherwise closes prosecution in the application, and thus:  
(1)  the certification of paragraph 2 below is provided, **or**  
(2)  the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.

1c.  This Information Disclosure Statement is submitted:  
after the mailing date of a final Office Action or Notice of Allowance or action which otherwise closes prosecution in the application, and prior to payment of the issue fee, and thus:  
**the certification of paragraph 2 below is provided, and**  
**the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.**

2. It is hereby certified

- a.  that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Statement, or
- b.  that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of the Statement.

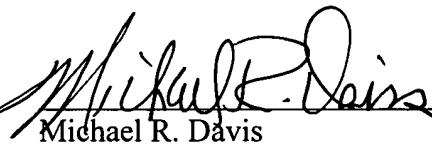
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3.  Consideration of the following list of additional information (including any copending or abandoned U.S. application, prior uses and/or sales, etc.) is requested.
4. For each non-English language reference listed on the attached form PTO-1449, reference is made to:
  - a.  a full or partial English language translation submitted herewith,
  - b.  a foreign patent office search report (International Search Report) (in the English language) submitted herewith,
  - c.  the concise explanation contained in the specification of the present application at page,
  - d.  the concise explanation set forth in the attached English language abstract,
  - e.  the concise explanation set forth below or on a separate sheet attached to the reference:
5.  A foreign patent office search report (International Search Report) citing one or more of the references is enclosed.

Respectfully submitted,

Eiichi KAJI et al.

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September 20, 2006

FORM PTO 1449 (modified)

**U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE**

**LIST OF REFERENCES CITED BY APPLICANT(S)**  
*(Use several sheets if necessary)*

Date Submitted to PTO: September 20, 2006

ATTY DOCKET NO.  
2006 1387A

SERIAL NO.  
NEW

**APPLICANT**  
Eiichi KAJI et al.

**FILING DATE**

**GROUP**

## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	2004/0242808	12/2004	Mink et al.			
	AB	2003/0195307	10/2003	Kaji et al.			
	AC						
	AD						
	AE						
	AF						
	AG						

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES	NO
	AH	2003/022890	3/2003	WO				
	AI	10-2004-0034736	4/2004	Republic of Korea				
	AJ	588062	5/2004	Taiwan				
	AK	2005-502741	1/2005	Japan				
	AL	1564830	1/2005	China				
	AM	2004-182963	7/2004	Japan				
	AN	1 352 913	10/2003	Europe				

**OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)**

	AO	
	AP	
	AQ	
EXAMINER		DATE CONSIDERED

*\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of form with next communication to applicant.*